

Monetary Management Corporation
1 Embarcadero Center Suite 4100
San Francisco CA 94111
Phone 415-981-9200
Fax 415-981-9211

Monetary Management Corporation

Client Profile Questionnaire and Information Sheet

Personnel Information

(All information is voluntary and provided on a confidential basis)

- Name:
- Address:

- Birth date:
- Home Phone:
- Home Fax:
- Business Phone:
- Business Fax:
- Email:

Tax Information

- Social Security Number:
- Current Tax Rate:
- Ordinary Income: Federal/ State:
- Capital Gains: Federal/State:

Risk Questionnaire and Investment Goals

1-5, 1=Strongly disagree, 5=Strongly Agree.

- Expected Return. Given historical returns on different kinds of investments, my desired level of investment return is above average. ____

- Risk Tolerance. I am willing to bear an above-average level of investment risk (volatility). I can accept occasional years with negative investment returns. ____

- Holding Period. I am willing to maintain investment positions over a reasonably long period of time (generally considered 10 years or more). ____
- Liquidity. I do not need to be able to readily convert my investments into cash. Aside from my portfolio, I have adequate liquid net worth to meet major near-term expenses. ____
- Ease of Management. I want to be very actively involved in the monitoring and decision-making required to manage my investments. ____
- Dependents. There are none or only a few dependents that rely on my income and my investment portfolio for support. ____
- Income Source. My major source of income is adequate, predictable and steadily growing. ____
- Insurance Coverage. I have an adequate degree of insurance coverage. ____
- Investment Experience. I have prior investment experience with stocks, bonds, and international investments. I understand the concept of investment risk. ____
- Debt/Credit. My debt level is low and my credit history is excellent. ____

Short Answer Questions:

1. What is the annual percentage return you expect your account to achieve given your risk tolerance?
2. When is the earliest date you would plan to withdraw assets from this account?
3. Do you have any large cash requirements coming up in the near future? (For example: Buying a new House, Funding a college education, Medical expenses, Debt payments).
4. What is your overall investment goal for this account? (Growth/ Income/ Retirement Funding/ College Education Funding).

5. What is the maximum percentage unrealized loss you are willing to accept in one year?
6. How sensitive are you to paying capital gains taxes on this account?
7. Do you feel you have an adequate retirement plan in place?
8. Please provide any additional information here regarding any of the above subjects or other important information.

Current Holdings

(All information is voluntary and provided on a confidential basis)

Net Worth (All Assets including Real Estate):

Estimated Annual Earned Income for the past two years (Salary):

* Please note that accredited investors must have a net worth greater than \$1 million or annual income for the past two years greater than \$200,000 or \$300,000 for a married couple.

Bank Accounts:

Institution:

Dollar Amount:

Institution:

Dollar Amount:

Brokerage Accounts:

Institution:

Dollar Amount:

Institution:

Dollar Amount:

Institution:

Dollar Amount:

Real Estate:*General Location:**Market Value:**Percent of Mortgage paid:**General Location:**Market Value:**Percent of Mortgage paid:***Insurance:***Type of Policy:**Amount of Protection:**Type of Policy:**Amount of Protection:**Type of Policy:**Amount of Protection:***Asset Mix Estimate:**

Asset Class	Percentage of Total Assets
Equities	
Fixed Income	
Cash	
Real Estate	
Limited Partnerships	

Retirement Planning

Please List the amount if any you have in the following retirement accounts:

Type of Retirement Account	Account Balance
401(k)	
IRA	
Roth IRA	
SEP IRA	
403(b)	
Pension Plan	
Fixed Annuity (Please list minimum guaranteed interest rate and annual annuity payments)	

Variable Annuity (Please list any guaranteed payments and annual annuity payments)	
Life Insurance (Whole life or Variable Life, Please list Cash Value)	

Retirement Short Answer Questions:

1. At what age do you plan to retire? Please list your date of birth.
2. Employment. How many years do you plan to work for and what annual income do you anticipate? (Please use one annual amount).
3. What annual pre-tax income do you want during retirement and for how many years?
4. Do you want to have money left over to pass on to your estate?
5. What is your desired rate of return? (We will assume a default return of 5%)
6. What retirement assets do you currently have saved? (What assets are earmarked for retirement/ not all savings).
7. Please list any other factors/ items that you think will help in setting up a retirement saving plan:

Thank you very much for providing us with this information.

Sincerely,

Monetary Management Corporation